

## Extraordinary Circumstances Extension / Exception (ECE) due to Hurricane Harvey

The Centers for Medicare & Medicaid Services (CMS) is granting exceptions under certain Medicare quality reporting and value-based purchasing programs to acute care hospitals, PPS-exempt cancer hospitals, inpatient psychiatric facilities, skilled nursing facilities, home health agencies, hospices, inpatient rehabilitation facilities, Renal Dialysis Facilities, long-term care hospitals, and ambulatory surgical centers located in areas affected by Hurricane Harvey due to the devastating impact of the storm. These providers will be granted exceptions without having to submit an extraordinary circumstances exception request if they are located in one of the Texas counties or Louisiana parishes listed below, all of which have been designated by the Federal Emergency Management Agency (FEMA) as a major disaster county. The scope and duration of the exception under each Medicare quality reporting program is described below; however, all of the exceptions are being granted to assist these providers while they direct their resources toward caring for their patients and repairing structural damages to facilities.

The affected counties and parishes designated by FEMA as of the date of this communication are as follows:

Texas Counties		Louisiana Parishes
• Aransas	• Kleberg	• Beauregard
• Bee	• Liberty	• Calcasieu
• Bexar	• Matagorda	• Cameron
• Brazoria	• Montgomery	• Jefferson Davis
• Calhoun	• Newton	• Vermilion
• Chambers	• Nueces	
• Colorado	• Orange	
• Dallas	• Refugio	
• Fayette	• Sabine	
• Fort Bend	• San Jacinto	
• Galveston	• San Patricio	
• Goliad	• Tarrant	
• Hardin	• Travis	
• Harris	• Victoria	
• Jackson	• Waller	
• Jasper	• Wharton	

The following providers located outside of the counties and parishes listed above are not covered by this communication, but may request an exception to the reporting requirements under one or more Medicare quality reporting or value-based purchasing programs using the applicable extraordinary circumstances exception procedure: acute care hospitals, Prospective Payment System (PPS)-exempt cancer hospitals, inpatient psychiatric facilities, skilled nursing facilities, home health agencies, hospices, inpatient rehabilitation facilities, long-term care hospitals, Renal Dialysis Facilities, and ambulatory surgical centers. If FEMA expands the current disaster declaration for Hurricane Harvey to include additional counties or parishes, CMS will update this communication to expand the list of providers eligible to receive an exception without submitting a request to include the hospitals, PPS-exempt cancer hospitals, inpatient psychiatric facilities, skilled nursing facilities, home health agencies, hospices, inpatient rehabilitation facilities, long-term care hospitals, and ambulatory surgical centers located in the additional

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counties and parishes. In addition, CMS will continue to monitor the situation and adjust exempted reporting periods and submission deadlines accordingly.

### **Home Health Agencies (HHAs), Hospices, Inpatient Rehabilitation Facilities (IRFs), Long-Term Care Hospitals (LTCHs), and Skilled Nursing Facilities (SNFs)**

CMS is granting an exception to all Quality Reporting Program (QRP) reporting requirements, including the reporting of data on measures and any other data requested by CMS for the post-acute care (PAC) quality reporting programs for calendar year 2017 quarters 2 and 3:

- HHAs – Home Health QRP
- Hospices – Hospice QRP
- IRFs – Inpatient Rehabilitation Facility QRP
- LTCHs – Long-Term Care Hospital QRP
- SNFs – Skilled Nursing Facility QRP

CMS will provide additional information about the extension and exceptions related to the PAC QRP submission requirements in subsequent announcements.

For further information about extensions and exemptions, view the program-specific webpages:

- [Home Health Quality Reporting Requirements](#)
- [Hospice Quality Reporting Extensions and Exemption Requests](#)
- [IRF Quality Reporting Reconsideration and Exception & Extension](#)
- [LTCH Quality Reporting Reconsideration and Exception & Extension](#)
- [SNF Quality Reporting Reconsideration and Exception & Extension](#)

### **Hospitals - Inpatient Services**

CMS is granting an exception to subsection (d) hospitals located in designated counties and parishes for the following reporting requirements under the Hospital Inpatient Quality Reporting (IQR) Program.

For the Hospital Consumer Assessment of Healthcare Providers and Systems (HCAHPS) Survey:

- October 2017 and January 2018 HCAHPS submission deadlines for discharge periods:
  - April 1, 2017 – June 30, 2017 (2<sup>nd</sup> Quarter 2017)
  - July 1, 2017 – September 30, 2017 (3<sup>rd</sup> Quarter 2017)

For the Influenza Vaccination Coverage Among Healthcare Personnel (HCP) measure:

- May 15, 2018 submission deadline for the 2017 – 2018 flu season:
  - October 1, 2017 – March 31, 2018 (4<sup>th</sup> Quarter 2017 through 1<sup>st</sup> Quarter 2018)

For all Hospital IQR Program chart-abstracted measures, including clinical population and sampling data and National Healthcare Safety Network (NHSN) Healthcare-Associated Infection (HAI) measures:

- Median Time from ED Arrival to ED Departure for Admitted ED Patients (ED-1),
- Admit Decision Time to ED Departure Time for Admitted Patients (ED-2),
- Influenza Immunization (IMM-2),

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- Severe Sepsis and Septic Shock: Management Bundle (Composite Measure),
- Incidence of Potentially Preventable Venous Thromboembolism (VTE-6),
- Elective Delivery Prior to 39 Completed Weeks Gestation (PC-01),
- Central Line-Associated Bloodstream Infection (CLABSI) Outcome Measure,
- Catheter-Associated Urinary Tract Infection (CAUTI) Outcome Measure,
- American College of Surgeons-Centers for Disease Control and Prevention (ACS-CDC) Harmonized Procedure Specific Surgical Site Infection (SSI) Outcome Measure,
- Facility-wide Inpatient Hospital-Onset Methicillin-Resistant Staphylococcus aureus (MRSA) Bacteremia Outcome Measure, and
- Facility-wide Inpatient Hospital-onset **Clostridium difficile Infection (CDI)** Outcome Measure:
  - November 2017 and February 2018 submission deadlines for discharge periods:
    - April 1, 2017 – June 30, 2017 (2<sup>nd</sup> Quarter 2017)
    - July 1, 2017 – September 30, 2017 (3<sup>rd</sup> Quarter 2017)

For Hospital IQR Program chart-abstracted data validation, medical records are normally due to the Clinical Data Abstraction Center (CDAC) within 30 days of the date identified on the written request letter. For hospitals in designated counties and parishes, medical record submission requirements for validation are exempt as follows:

- CDAC record requests for discharge periods:
  - October 1, 2016 – December 31, 2016 (4<sup>th</sup> Quarter 2016)
  - January 1, 2017 – March 31, 2017 (1<sup>st</sup> Quarter 2017)
  - April 1, 2017 – June 30, 2017 (2<sup>nd</sup> Quarter 2017)
  - July 1, 2017 – September 30, 2017 (3<sup>rd</sup> Quarter 2017)

For HAI Validation Template submission:

- November 1, 2017 submission deadline for discharge period April 1, 2017 – June 30, 2017 (2<sup>nd</sup> Quarter 2017)
- February 1, 2018 submission deadline for discharge period July 1, 2017 – September 30, 2017 (3<sup>rd</sup> Quarter 2017)

*NOTE:* Hospitals located within the designated counties and parishes listed above should be aware of the potential subsequent impact to the Hospital Value-Based Purchasing (VBP) Program, Hospital Readmissions Reduction Program, and Hospital-Acquired Condition (HAC) Reduction Program Fiscal Year (FY) 2019 minimum case threshold counts for inclusion in these programs. For example, hospitals might be scored solely on the HAC Reduction Program Domain 1 claims-based measure due to non-submissions resulting in not meeting the minimum number of CDC HAI measures with sufficient cases in HAC Reduction Program Domain 2.

### **End-Stage Renal Disease Quality Incentive Program (ESRD QIP)**

Renal Dialysis Facilities located in the above designated Texas counties and Louisiana parishes that were closed will be exempt from all reporting requirements of the ESRD QIP clinical and reporting measures from August 2017 through December 2017. Facilities that desire an exemption beyond December 2017 may submit an Extraordinary Circumstances Exceptions (ECE) request via the established process.

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For ESRD QIP clinical and reporting measures, facilities will be exempt as shown in the following table:

Exempt Clinical Month	Reporting Deadline
June 2017	August 31, 2017
July 2017	September 30, 2017
August 2017	October 31, 2017
September 2017	November 30, 2017
October 2017	December 31, 2017
November 2017	January 31, 2017
December 2017	February 28, 2017

For the NHSN Measure topic, facilities will not be scored for Payment Year (PY) 2019 since facilities will be unable to submit 12 months of data in NHSN in order to receive the maximum number of points on this measure topic.

Closed facilities covered under this waiver will not be required to participate in the CROWNWeb or NHSN validation studies for PY 2019. Facilities in other counties, parishes, and states that may have been impacted by Hurricane Harvey may submit ECE requests based on individual circumstances with all required documentation completed. Please refer to the ECE request process and form located on *QualityNet* for additional information. The form must be signed by the Renal Dialysis Facility's chief executive officer (CEO) or designee, and submitted via email to the ESRD QIP Mailbox at [esrdqip@cms.hhs.gov](mailto:esrdqip@cms.hhs.gov). This form must be submitted **within 90 days of the extraordinary circumstances event**.

**Please do not respond directly to this email.** For further assistance regarding the information contained in this message, please contact the ESRD QIP at [esrdqip@cms.hhs.gov](mailto:esrdqip@cms.hhs.gov).

### PPS-Exempt Cancer Hospitals

CMS is granting an exception to PPS-exempt cancer hospitals located in the counties and parishes described above for the following reporting requirements under the PPS-Exempt Cancer Hospital Quality Reporting (PCHQR) Program:

For chart-abstracted data and NHSN HAI data:

- November 2017 and February 2018 submission deadlines for discharge periods:
  - January 1, 2017 – March 31, 2017 (1<sup>st</sup> Quarter 2017) – Colon Cancer/Breast Cancer
  - April 1, 2017 – June 30, 2017 (2<sup>nd</sup> Quarter 2017) – Colon Cancer/Breast Cancer
  - June 1, 2016 – September 30, 2016 (3<sup>rd</sup> Quarter 2016) – Adjuvant Hormonal Therapy
  - October 1, 2016 – December 31, 2016 (4<sup>th</sup> Quarter 2016) – Adjuvant Hormonal Therapy
  - April 1, 2017 – June 30, 2017 (2<sup>nd</sup> Quarter 2017) – CLABSI, CAUTI, SSI, MRSA, CDI
  - June 1, 2017 – September 31, 2017 (3<sup>rd</sup> Quarter 2017) – CLABSI, CAUTI, SSI, MRSA, CDI

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For Influenza Vaccination Coverage Among Healthcare Personnel (HCP) data:

- May 15, 2018 submission deadline for the 2017 – 2018 flu season:
  - October 1, 2017 – March 31, 2018 (4<sup>th</sup> Quarter 2017 through 1<sup>st</sup> Quarter 2018)

For the HCAHPS Survey:

- October 2017 and January 2018 HCAHPS submission deadlines for discharge periods:
  - April 1, 2017 – June 30, 2017 (2<sup>nd</sup> Quarter 2017)
  - July 1, 2017 – September 30, 2017 (3<sup>rd</sup> Quarter 2017)

### **Inpatient Psychiatric Facilities**

CMS is granting an exception to inpatient psychiatric facilities located in the counties and parishes described above for the following reporting requirements under the Inpatient Psychiatric Facility Quality Reporting (IPFQR) Program:

For the Influenza Vaccination Coverage Among Healthcare Personnel (HCP) measure:

- May 15, 2018 submission deadline for the 2017 – 2018 flu season:
  - October 1, 2017 – March 31, 2018 (4<sup>th</sup> Quarter 2017 through 1<sup>st</sup> Quarter 2018)

### **Hospitals - Outpatient Services**

CMS is granting an exception to subsection (d) hospitals located in the counties and parishes described above for the following reporting requirements under the Hospital Outpatient Quality Reporting (OQR) Program:

For the Influenza Vaccination Coverage Among Healthcare Personnel (HCP) measure:

- May 15, 2018 submission deadline for the 2017 – 2018 flu season:
  - October 1, 2017 – March 31, 2018

For all Hospital OQR Program chart-abstracted measures:

- November 2017 and February 2018 submission deadlines for encounter periods:
  - April 1, 2017 – June 30, 2017 (2<sup>nd</sup> Quarter 2017)
  - July 1, 2017 – September 30, 2017 (3<sup>rd</sup> Quarter 2017)

For Hospital OQR Program chart-abstracted data validation, medical records are normally due to the CDAC within 45 days of the date identified on the written request letter. Hospitals in designated counties and parishes are exempt from these validation medical record submission requirements as follows:

- CDAC record requests for encounter periods:
  - January 1, 2017 – March 31, 2017 (1<sup>st</sup> Quarter 2017)
  - April 1, 2017 – June 30, 2017 (2<sup>nd</sup> Quarter 2017)

### **Ambulatory Surgical Centers (ASCs)**

CMS is granting an exception to ASCs located in the counties and parishes described above for the following reporting requirements under the Ambulatory Surgical Center Quality Reporting (ASCQR) Program:

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- Data collection and submission requirements that apply for the remainder of Calendar Year (CY) 2017 and the 2017/2018 Influenza Season that relate to CY 2019 payment determinations are exempt. These exemptions apply to all data submitted via the *QualityNet Secure Portal* and the NHSN web-based measure collection tools that are due May 15, 2018, including claims-based measures calculated from submitted Quality Data Codes (QDCs). This exemption does not apply to claims-based measures that do not utilize QDCs for calculation purposes.

### Circumstances Under Which an Exception Due to Hurricane Harvey Must Be Requested in Order to be Considered by CMS

#### Hospital Value-Based Purchasing (VBP) Program, Hospital-Acquired Condition (HAC) Reduction Program, and Hospital Readmissions Reduction Program

Hospitals, regardless of location, may request an exception to reporting requirements under the Hospital Value-Based Purchasing (VBP) Program, Hospital-Acquired Condition (HAC) Reduction Program, and Hospital Readmissions Reduction Program. Unlike our reporting programs, we must also assess measure performance of affected providers to assess any systemic impact on performance, such as a possible increase in affected hospital readmission rates due to patients evacuated from flooded facilities. We ask that providers or facilities directly impacted by flood damage submit individual ECE Requests to the national support contractor by the process stated below. CMS will only consider exception requests that comply with these procedures.

#### Hospital IQR, OQR, and ASCQR ECE Request Process

Hospitals and ASCs in counties, parishes, and states outside of the designated areas may submit ECE requests based on individual circumstances by one of the following methods:

- Secure File Transfer via *QualityNet Secure Portal*, “WAIVER EXCEPTION WITHHOLDING” group,
- E-mail to [QRSupport@hcqis.org](mailto:QRSupport@hcqis.org),
- Secure fax to (877) 789-4443, or
- Mail to HSAG, Attention: Quality Reporting Support Contractor, 3000 Bayport Drive, Suite 300, Tampa, FL 33607.

Please refer to the ECE Request process and form specific to the program of interest located on *QualityNet* for additional information.

- Hospital IQR Program: Select “Hospital Inpatient Quality Reporting Program” from the **[Hospitals – Inpatient]** tab drop-down list, followed by selecting the “Extraordinary Circumstances Form” link in the left side navigation bar (direct link): <https://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier3&cid=1228762258913>.
  - The National Support Team for the Hospital IQR Program is available to answer questions or supply any additional information you may need. Please contact the team at [InpatientSupport@viqrc1.hcqis.org](mailto:InpatientSupport@viqrc1.hcqis.org) or call toll-free at (844) 472-4477.
- ASCQR Program: Select “Ambulatory Surgical Centers Reporting Program” from the **[Ambulatory Surgical Centers]** tab drop-down list, followed by selecting the “Extraordinary Circumstances Form” link in the left side navigation bar (direct link):

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<https://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier3&cid=1228772757396>. See the next section under the Hospital OQR Program for contact information for ASCQR Program related issues.

- Hospital OQR Program: Select “Hospital Outpatient Quality Reporting Program” from the [**Hospitals – Outpatient**] tab drop-down list, followed by selecting the “Extraordinary Circumstances Form” link in the left side navigation bar (direct link): <https://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier3&cid=1192804531069>.
  - The National Support Team for both the Hospital OQR and ASCQR Programs is available to answer questions or supply any additional information you may need. Please contact the team at [oqrsupport@hsag.com](mailto:oqrsupport@hsag.com) or [qrsupport@hcqis.org](mailto:qrsupport@hcqis.org) or call toll-free at (866) 800-8756.

For questions regarding technical issues, contact the QualityNet Help Desk at the following email address: [qnetsupport@hcqis.org](mailto:qnetsupport@hcqis.org).